



ANNUAL REPORT OF NONFINANCIAL DATA

FISCAL YEAR 2007
September 1, 2006 - August 31, 2007



SORM

STATE OFFICE *of* RISK MANAGEMENT

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December 17, 2007

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Governor of Texas
P.O. Box 12428
Austin, Texas 78711

Mr. John O'Brien, Director
Legislative Budget Board
P.O. Box 12666
Austin, Texas 78711

Mr. John Keel, CPA, State Auditor
Office of the State Auditor
P.O. Box 12067
Austin, Texas 78711

Dear State Leaders:

We are pleased to submit the *Annual Report of Nonfinancial Data* for the State Office of Risk Management for the year ended August 31, 2007, in compliance with the Tex. Gov't Code Ann. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions regarding this report, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,



Jonathan D. Bow
Executive Director

Table of Contents

Schedules

1	Schedule of Professional / Consulting Fees and Legal Service Fees.....	1
2	Schedule of Space Occupied.....	2
3	Appropriation Item Transfer Schedule	3
4	HUB Strategic Plan Progress Report.....	4
5	Schedule of Recycled, Remanufactured, and Environmentally Sensitive Purchases.....	5
6	Schedule of Indirect Cost.....	6

State Office of Risk Management (479)
Unaudited

SCHEDULE 1

SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES

For the Fiscal Year Ended August 31, 2007

<u>NAME</u>	<u>TYPE OF SERVICE RENDERED</u>	<u>AMOUNT</u>
Professional / Consulting Fees		
Corvel Corporation	Professional Services	\$ 588,292.22
Filenet Corporation	Educational/Training Services	6,500.00
Forte, Inc.	Professional Services	1,189,856.25
Garza/Gonzalez	Financial & Accounting Services	13,225.00
Rudd and Wisdom	Professional Services	8,500.00
Workers Assistance Program	Professional Services	1,940.28
Total Professional / Consulting Fees and Legal Service Fees		\$ <u>1,808,313.75</u>

State Office of Risk Management (479)
Unaudited

SCHEDULE 2
SCHEDULE OF SPACE OCCUPIED
For the Fiscal Year Ended August 31, 2007

State-Owned Buildings:

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>FTEs</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building	Office	117.1	<u>19,592</u>
Total Space				<u><u>19,592</u></u>

State Office of Risk Management (479)
Unaudited

SCHEDULE 3
APPROPRIATION ITEM TRANSFER SCHEDULE *
For the Fiscal Year Ended August 31, 2007

ITEM OF APPROPRIATION

A. Goal: Management Risk and Administer Claims

Strategies:	<u>Transfer In</u>	<u>Transfer Out</u>	<u>Net Transfer</u>
A.1.1 13001 Risk Management Program	\$ -	\$ -	\$ 0.00
A.2.1 13002 Pay Workers' Compensation	\$ -	\$ -	\$ 0.00
A.1.1 13003 Workers' Compensation Payments	\$ -	\$ -	\$ 0.00
Total Goal A: Management Risk and Administer Claims	\$ -	\$ -	\$ 0.00
Net Appropriation Transfers	\$ -	\$ -	\$ 0.00

*This schedule does not include the transfers for Benefit Replacement Pay, Longevity Increase, Salary Increase or Retirement Incentive Payments.

State Office of Risk Management (479)
Unaudited

SCHEDULE 4
HUB STRATEGIC PLAN PROGRESS REPORT
For the Fiscal Year Ended August 31, 2007

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

Goal=Strategic Plan HUB Goal Actual=% Spent with HUBs from HUB report	Actual for FY 2006	Actual FY 2007	Goal for FY 2008
Heavy Construction contracts	0%*	0%*	NA
Building Construction contracts	0%*	0%*	NA
Special Trades contracts	0%*	0%*	NA
Professional Services contracts	100%**	100%**	20%
Other Services contracts	2.60%	4.02%	33%
Commodities contracts	80.2%	89.3%	12.6%

* The State Office of Risk Management does not historically make procurements in these categories.

** The State Office of Risk Management had a small expenditure in the Professional Services category of \$10,547 in FY2006 and \$13,225 in FY2007.

State Office of Risk Management (479)
Unaudited

SCHEDULE 5

SCHEDULE OF RECYCLED, REMANUFACTURED, AND ENVIRONMENTALLY SENSITIVE PURCHASES

For the Fiscal Year Ended August 31, 2007

E1, E2, or E3	Description	Total Expenditures		
		Non-Delegated	Delegated	Total
Total E1 - Including 1st Choice	Recycled	\$ 137.06	\$ 59.60	\$ 196.66
Total E2 - Including 1st Choice	Remanufactured	-	-	-
Total E3 - Including 1st Choice	Environmentally Sensitive	-	22,937.60	22,937.60
Total of E1, E2, E3 - Including Recycled 1st Choice Targeted Totals		\$ 137.06	\$ 22,997.20	\$ 23,134.26

FY 2007 - 1st Choice Targeted Commodities	Expenditures			% Spent on 1st Choice Products 100*[A/(A+B)]
	1st Choice Products (A)	Virgin Counterpart (B)	Total (A+B)	
Motor Oil & Lubricants	\$ -	\$ -	\$ -	0%
Toilet Paper, Toilet Seat Covers & Paper Towels	-	-	-	0%
Printing Paper, Copier Paper & Computer Paper	-	-	-	0%
Business Envelopes	-	-	-	0%
Plastic Trash Bags	-	-	-	0%
Plastic Covered Binders	-	-	-	0%
Recycling Containers	-	-	-	0%
Photocopiers	-	-	-	0%
Total - 1st Choice Targeted Commodities	\$ -	\$ -	\$ -	0%

Summary of Total Number of FY 2007 Justification Letters	Total Cost	Total Quantity	Total Not Available	Total Other
Motor Oil & Lubricants	\$ -	0	0	0
Toilet Paper, Toilet Seat Covers & Paper Towels	-	0	0	0
Printing Paper, Copier Paper & Computer Paper	59.60	0	0	0
Business Envelopes	-	0	0	0
Plastic Trash Bags	-	0	0	0
Plastic Covered Binders	-	0	0	0
Recycling Containers	-	0	0	0
Photocopiers	-	0	0	0
Total Number(s)	\$ 59.60	0	0	0

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Unaudited

SCHEDULE 6
SCHEDULE OF INDIRECT COST
For the Fiscal Year Ended August 31, 2007

A. Payroll-Related Costs		
FICA Employer Matching Contribution	\$	374,398.53
Group Health Insurance		654,859.76
Retirement		299,923.21
Unemployment		<u>10,789.30</u>
Total Payroll-Related Costs	\$	<u>1,339,970.80</u>
Workers' Compensation	\$	10,699.42
Benefit Replacement Pay (BRP)		<u>22,501.85</u>
Total Workers' Compensation and BRP	\$	<u>33,201.27</u>
B. Indirect Costs (not reported on operating statements)		
Bond Debt Service Payments	\$	0.00
Other (if applicable)		<u>0.00</u>
Total Indirect Costs (not reported on operating statements)	\$	<u>0.00</u>
C. Indirect Costs - Statewide Full Cost Allocation Plan (based on FY2007 data)		
Comptroller	\$	0.00
DIR		0.00
TBPC		0.00
Budget		0.00
State Senate		0.00
House of Representatives		0.00
Legislative Council		0.00
Legislative Budget Board		0.00
Reference Library		0.00
Sunset Advisory Committee		0.00
Building Depreciation		0.00
USAS Depreciation		<u>0.00</u>
Total Indirect Costs - Statewide Full Cost Allocation Plan	\$	<u>0.00</u>
TOTAL INDIRECT COSTS	\$	<u><u>1,373,172.07</u></u>



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