



State Office of Risk Management

**ANNUAL REPORT OF
NONFINANCIAL DATA**

FISCAL YEAR 2013

September 1, 2012 – August 31, 2013



SORM

STATE OFFICE of RISK MANAGEMENT

300 W. 15TH, AUSTIN, TEXAS 78701 / P.O. BOX 13777, AUSTIN, TEXAS 78711-3777
(512) 475-1440, FAX (512) 370-9025 / WWW.SORM.STATE.TX.US

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December 11, 2013

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GAMMON

Dear State Leaders:

TOMAS
GONZALEZ

EXECUTIVE
DIRECTOR:

We are pleased to submit the *Annual Report of Nonfinancial Data* for the State Office of Risk Management for the year ended August 31, 2013, in compliance with the Tex. Gov't Code Ann. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

JONATHAN D.
BOW

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions regarding this report, please contact Stuart B. Cargile, Division Chief over Internal Operations, at (512) 936-1523.

Sincerely,



Jonathan D. Bow, J.D.
Executive Director

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SCHEDULE 1
SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES
For the Fiscal Year Ended August 31, 2013

<u>NAME</u>	<u>TYPE OF SERVICE RENDERED</u>	<u>AMOUNT</u>
Professional / Consulting Fees		
Forte, Inc.	Professional Services	\$ 1,577,055.97
Garza/Gonzalez	Financial & Accounting Services	20,560.00
Rudd and Wisdom	Professional Services	10,000.00
Workers Assistance Program	Professional Services	<u>2,775.60</u>
Total Professional / Consulting Fees and Legal Service Fees		\$ <u><u>1,610,391.57</u></u>

SCHEDULE 2
SCHEDULE OF SPACE OCCUPIED
For the Fiscal Year Ended August 31, 2013

State-Owned Buildings:

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building	Office	<u>19,809</u>
Total Space			<u><u>19,809</u></u>

SCHEDULE 3
APPROPRIATION ITEM TRANSFER SCHEDULE *
For the Fiscal Year Ended August 31, 2013

ITEM OF APPROPRIATION

A. Goal: Management Risk and Administer Claims

Strategies:	<u>Transfer In</u>	<u>Transfer Out</u>	<u>Net Transfer</u>
A.1.1 13001 Risk Management Program H.B. 1, 82nd Leg., R.S., Art IX, Sec 14.01 (a), Page IX-56 (Appropriation Transfer)	\$ <u>-</u>	\$ <u>(150,000.00)</u>	\$ <u>(150,000.00)</u>
A.2.1 13002 Pay Workers' Compensation H.B. 1, 82nd Leg., R.S., Art IX, Sec 14.01 (a), Page IX-56 (Appropriation Transfer)	\$ <u>150,000.00</u>	\$ <u>-</u>	\$ <u>150,000.00</u>
A.1.1 13003 Workers' Compensation Payments	\$ <u>-</u>	\$ <u>-</u>	\$ <u>-</u>
Total Goal A: Management Risk and Administer Claims	\$ <u>150,000.00</u>	\$ <u>(150,000.00)</u>	\$ <u>0.00</u>
Net Appropriation Transfers	\$ <u>150,000.00</u>	\$ <u>(150,000.00)</u>	\$ <u>0.00</u>

*This schedule does not include the transfers for Benefit Replacement Pay, Longevity Increase, Salary Increase or Retirement Incentive Payments.

SCHEDULE 4
HUB STRATEGIC PLAN PROGRESS REPORT
For the Fiscal Year Ended August 31, 2013

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

Goal=Strategic Plan HUB Goal Actual=% Spent with HUBs from HUB report	Actual for FY 2012	Actual for FY 2013	Goal for * FY 2014
Heavy Construction contracts	N/A	N/A	N/A
Building Construction contracts	N/A	N/A	N/A
Special Trades contracts	N/A	N/A	N/A
Professional Services contracts	100.00%	100.00%	23.6%
Other Services contracts	1.22%	2.15%	1.5%
Commodities contracts	40.98%	86.04%	21.0%

The State Office of Risk Management does not make purchases in Heavy Construction, Building Construction or Special Trades Procurement Categories as they are not part of the agency's mission.

* HUB goals tentative pending approval from the Legislative Budget Board.

SCHEDULE 5
SCHEDULE OF INDIRECT COST
For the Fiscal Year Ended August 31, 2013

A. Payroll-Related Costs

FICA Employer Matching Contribution	\$	430,899.77
Group Health Insurance		763,961.20
Retirement		344,182.13
Unemployment		3,286.00
		<u>3,286.00</u>

Total Payroll-Related Costs \$ 1,542,329.10

Workers' Compensation	\$	9,841.73
Benefit Replacement Pay (BRP)		11,343.40
		<u>11,343.40</u>

Total Workers' Compensation and BRP \$ 21,185.13

B. Indirect Costs (not reported on operating statements)

Bond Debt Service Payments	\$	0.00
Other (if applicable)		0.00
		<u>0.00</u>

Total Indirect Costs (not reported on operating statements) \$ 0.00

C. Indirect Costs - Statewide Full Cost Allocation Plan
(based on FY2013 data)

Building Depreciation	\$	65,074.00
Comptroller		196,614.00
DPS Capitol Security		15,064.00
TFC		103,554.00
Governor Budget & Planning		1,830.00
State Senate		1,280.00
House of Representatives		1,679.00
Legislative Council		1,343.00
Legislative Budget		9,551.00
Reference Library		1,301.00
Sunset Advisory Board		1,554.00

Total Indirect Costs -
Statewide Full Cost Allocation Plan \$ 398,844.00

TOTAL INDIRECT COSTS **\$ 1,962,358.23**



State Office of Risk Management

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