



# ANNUAL REPORT OF NONFINANCIAL DATA

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**FISCAL YEAR 2016**

*September 1, 2015 – August 31, 2016*





State Office of Risk Management

300 W. 15<sup>TH</sup>, AUSTIN, TEXAS 78701 / P.O. BOX 13777, AUSTIN, TEXAS 78711-3777  
(512) 475-1440, FAX (512) 370-9025 / WWW.SORM.STATE.TX.US

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Dear State Leaders:

EXECUTIVE  
DIRECTOR:

STEPHEN S.  
VOLLBRECHT, J.D.

We are pleased to submit the State Office of Risk Management's *Annual Report of Nonfinancial Data* for the year ended August 31, 2016, in compliance with the TEX. GOV'T CODE ANN. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

If you have any questions, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,

Stephen S. Vollbrecht  
J.D., M.A., AINS, AIS, PCP  
State Risk Manager, Executive Director

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**SCHEDULE 1**  
**SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES**  
For the Fiscal Year Ended August 31, 2016

<b>NAME</b>	<b>TYPE OF SERVICE RENDERED</b>	<b>AMOUNT</b>
<b>Professional / Consulting Fees</b>		
AICPCU	Education Training	\$ 525.00
Compu-Data International LLC	Information Technology Services	2,544.00
Garza/Gonzalez	Financial & Accounting Services	22,300.00
Injury Management Organization	Professional Services	751,825.00
Stratacare LLC	Professional Services	472,705.80
UT-Austin School of Social Work	Professional Services	1,219.00
Workers Assistance Program	Professional Services	2,437.05
Professional Fees Under 500.00		374.06
<b>Total Professional / Consulting Fees and Legal Service Fees</b>		<b>\$ 1,253,929.91</b>

**SCHEDULE 2**  
**SCHEDULE OF SPACE OCCUPIED**  
For the Fiscal Year Ended August 31, 2016

**State-Owned Buildings:**

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building 300 West 15th Street, 78701	Office	19,701
<b>Total Space</b>			<u><u>19,701</u></u>

**SCHEDULE 3**  
**APPROPRIATION ITEM TRANSFER SCHEDULE \***

For Fiscal Year ended August 31, 2016

Item of Appropriation

A. Goal: Manage Risk and Administer Claims		Transfer In	Transfer Out	Net Transfer
Strategies:				
<b>A.1.1</b>	<b>13001 Risk Management Program</b>			
	H.B. 1, 84th Leg., R.S., Art IX, Sec 14.01 (a), Page IX-65 (Appropriation Transfer)	\$ -	\$ -	\$ -
<b>A.2.1</b>	<b>13002 Pay Workers' Compensation</b>			
	H.B. 1, 84th Leg., R.S., Art IX, Sec 14.01 (a), Page IX-65 (Appropriation Transfer)	\$ -		\$ -
<b>B.1.1</b>	<b>13004 Workers' Compensation Payments</b>		\$ -	
<b>Net Total Goal A: Manage Risk and Administer Claims</b>		<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

\*This schedule does not include Benefit Replacement Pay transfers or Rider Reduction transfers.

**SCHEDULE 4**  
**HUB STRATEGIC PLAN PROGRESS REPORT**  
For The Fiscal Year Ended August 31, 2016

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

HUB Report Procurement Categories	Fiscal 2015		Fiscal 2016		Fiscal 2017
	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal
Heavy construction other than building contracts	0.00%	0.00%	NA	NA	NA
Building construction, including general contractors and operative builders contracts	0.00%	0.00%	NA	NA	NA
Special trade construction contracts	0.00%	0.00%	NA	NA	NA
Professional services contracts	23.60%	100.00%	23.60%	100.00%	23.60%
Other services contracts	1.50%	45.84%	1.92%	61.24%	26.00%
Commodities contracts	21.00%	45.97%	30.95%	6.13%	21.10%

The State Office of Risk Management does not make purchases in the Heavy Construction or Building Construction Procurement Categories, as they are not part of the agency's mission.



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