



State Office of Risk Management

**ANNUAL REPORT OF  
NONFINANCIAL DATA**

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**FISCAL YEAR 2015**

*September 1, 2014 – August 31, 2015*





State Office of Risk Management

300 W. 15<sup>TH</sup>, AUSTIN, TEXAS 78701 / P.O. BOX 13777, AUSTIN, TEXAS 78711-3777  
(512) 475-1440, FAX (512) 370-9025 / WWW.SORM.STATE.TX.US

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December 16, 2015

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Dear State Leaders:

TOMAS  
GONZALEZ

We are pleased to submit the State Office of Risk Management's *Annual Report of Nonfinancial Data* for the year ended August 31, 2015, in compliance with the TEX. GOV'T CODE ANN. §2101.0115 and in accordance with the instructions for completing the Annual Report of Nonfinancial Data.

EXECUTIVE  
DIRECTOR:

The accompanying report has not been audited and is considered independent of the agency's *Annual Financial Report*.

STEPHEN S.  
VOLLBRECHT

If you have any questions, please contact Stuart B. Cargile at (512) 936-1523.

Sincerely,

Stephen S. Vollbrecht, J.D.  
AINS, AIS, PCP  
State Risk Manager, Executive Director

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**SCHEDULE 1**  
**SCHEDULE OF PROFESSIONAL / CONSULTING FEES AND LEGAL SERVICE FEES**  
For the Fiscal Year Ended August 31, 2015

<b>NAME</b>	<b>TYPE OF SERVICE RENDERED</b>	<b>AMOUNT</b>
<b>Professional / Consulting Fees</b>		
AICPCU	Education Training	\$ 825.19
Dell Marketing LP	Information Technology Services	24,300.00
Forte, Inc.	Professional Services	126,877.00
Garza/Gonzalez	Financial & Accounting Services	27,270.00
Injury Management Organization	Professional Services	705,425.00
Public Risk Management Association	Education Training	10,000.00
Rudd and Wisdom	Professional Services	11,000.00
Stratacare LLC	Professional Services	482,698.30
Workers Assistance Program	Professional Services	2,797.92
Professional Fees Under 500.00		<u>137.50</u>
<b>Total Professional / Consulting Fees and Legal Service Fees</b>		<b>\$ <u><u>1,391,330.91</u></u></b>

**SCHEDULE 2**  
**SCHEDULE OF SPACE OCCUPIED**  
For the Fiscal Year Ended August 31, 2015

**State-Owned Buildings:**

<u>Location</u>	<u>Address</u>	<u>Type</u>	<u>Area in Square Feet</u>
Austin, Texas	William P. Clements Building	Office	<u>19,701</u>
<b>Total Space</b>			<b><u><u>19,701</u></u></b>

**SCHEDULE 3**  
**APPROPRIATION ITEM TRANSFER SCHEDULE \***  
For the Fiscal Year Ended August 31, 2015

**ITEM OF APPROPRIATION**

**A. Goal: Management Risk and Administer Claims**

Strategies:	<u>Transfer In</u>	<u>Transfer Out</u>	<u>Net Transfer</u>
A.1.1 13001 Risk Management Program S.B. 1, 83rd Leg., R.S., Art IX, Sec 14.01 (a), Page IX-53 (Appropriation Transfer)	\$ -	\$ -	\$ -
A.2.1 13002 Pay Workers' Compensation S.B. 1, 83rd Leg., R.S., Art IX, Sec 14.01 (a), Page IX-53 (Appropriation Transfer)	\$ -	\$ -	\$ -
A.1.1 13004 Workers' Compensation Payments	\$ -	\$ -	\$ -
<b>Total Goal A: Management Risk and Administer Claims</b>	<u>\$ 0.00</u>	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<b>Net Appropriation Transfers</b>	<u>\$ 0.00</u>	<u>\$ 0.00</u>	<u>\$ 0.00</u>

\*This schedule does not include the transfers for Benefit Replacement Pay, Longevity Increase, Salary Increase or Retirement Incentive Payments.

**SCHEDULE 4**  
**HUB STRATEGIC PLAN PROGRESS REPORT**  
For The Fiscal Year Ended August 31, 2015

(Source: Texas Government Code, Title 10, Subtitle D, Section 2161.124)

HUB Report Procurement Categories	Fiscal 2014		Fiscal 2015		Fiscal 2016
	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal	% of Dollars Spent w/HUBs	Agency-Specific HUB Goal
Heavy construction other than building contracts	0.00%	0.00%	0.00%	0.00%	NA
Building construction, including general contractors and operative builders contracts	0.00%	0.00%	0.00%	0.00%	NA
Special trade construction contracts	0.00%	0.00%	0.00%	0.00%	NA
Professional services contracts	23.60%	100.00%	23.60%	100.00%	23.60%
Other services contracts	1.50%	2.40%	1.50%	45.84%	1.92%
Commodities contracts	21.00%	74.65%	21.00%	45.97%	30.95%

The State Office of Risk Management does not make purchases in the Heavy Construction or Building Construction Procurement Categories, as they are not part of the agency's mission.

**SCHEDULE 5**  
**SCHEDULE OF INDIRECT COST**  
For the Fiscal Year Ended August 31, 2015

A. Payroll-Related Costs

FICA Employer Matching Contribution	\$	452,054.18
Group Health Insurance		877,801.14
Retirement		449,543.41
Unemployment		9,915.00
		<u>9,915.00</u>

Total Payroll-Related Costs \$ 1,789,313.73

Workers' Compensation	\$	11,348.39
Benefit Replacement Pay (BRP)		7,064.80
		<u>7,064.80</u>

Total Workers' Compensation and BRP \$ 18,413.19

B. Indirect Costs (not reported on operating statements)

Bond Debt Service Payments	\$	0.00
Other (if applicable)		0.00
		<u>0.00</u>

Total Indirect Costs (not reported on operating statements) \$ 0.00

C. Indirect Costs - Statewide Full Cost Allocation Plan  
(based on FY2013 data)

Building Depreciation	\$	67,071.00
Comptroller		165,558.00
DPS Capitol Security		13,792.00
TFC		100,564.00
Governor Budget & Planning		1,930.00
State Senate		1,107.00
House of Representatives		1,445.00
Legislative Council		1,026.00
Legislative Budget		8,997.00
Reference Library		1,078.00
Sunset Advisory Board		1,373.00
		<u>1,373.00</u>

Total Indirect Costs -  
Statewide Full Cost Allocation Plan \$ 363,941.00

**TOTAL INDIRECT COSTS** **\$ 2,171,667.92**





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