

# **Operating Budget**

for Fiscal Year 2012

Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board

by

**State Office of Risk Management**



*December 6, 2011*



## CERTIFICATE

**Agency Name** State Office of Risk Management

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Article IX, Section 7.01 (2012-13 GAA).

**Chief Executive Officer or Presiding Judge**


  
\_\_\_\_\_  
Signature

Jonathan D. Bow  
Printed Name

Executive Director  
Title

December 6, 2011  
Date

**Board or Commission Chair**

  
\_\_\_\_\_  
Signature

Stephanie Simmons  
Printed Name

Board Chair  
Title

December 6, 2011  
Date

**Chief Financial Officer**

  
\_\_\_\_\_  
Signature

Stuart B. Cargile  
Printed Name

Director of Fund Accounting, CFO  
Title

December 6, 2011  
Date



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**II.A. SUMMARY OF BUDGET BY STRATEGY**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 12/5/2011  
 TIME : 3:06:17PM

Agency code: 479                      Agency name: State Office of Risk Management

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>1</b> Manage Workers' Compensation Costs			
<b>1</b> <i>Risk Management</i>			
<b>1</b> RISK MANAGEMENT PROGRAM	\$1,943,995	\$2,054,983	\$3,430,138
<b>2</b> <i>Claims Administration</i>			
<b>1</b> PAY WORKERS' COMPENSATION	\$5,904,414	\$6,081,196	\$6,223,282
<b>TOTAL, GOAL 1</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>

**II.A. SUMMARY OF BUDGET BY STRATEGY**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 12/5/2011  
 TIME : 3:06:17PM

Agency code: 479 Agency name: State Office of Risk Management

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>General Revenue Funds:</b>			
1 General Revenue Fund	\$0	\$0	\$0
	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Other Funds:</b>			
666 Appropriated Receipts	\$2,720	\$1,236	\$343
777 Interagency Contracts	\$7,845,689	\$8,134,943	\$9,653,077
	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>117.6</b>	<b>119.9</b>	<b>117.6</b>

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
TIME: **3:01:59PM**

Agency code: **479** Agency name: **State Office of Risk Management**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b><u>OTHER FUNDS</u></b>			
<b>666</b> Appropriated Receipts			
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 8.03, Reimbursements & Payments (2010-11 GAA)	\$2,720	\$1,236	\$0
Art IX, Sec 8.03, Reimbursements & Payments (2012-13 GAA)	\$0	\$0	\$343
<b>TOTAL, Appropriated Receipts</b>	<b>\$2,720</b>	<b>\$1,236</b>	<b>\$343</b>
<b>777</b> Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Art I, Pg I-88 (2012-13 GAA)	\$0	\$0	\$9,053,077
Art I, Pg I-79 (2010-11 GAA)	\$9,033,914	\$8,777,914	\$0
<i>RIDER APPROPRIATION</i>			
Art IX, Sec. 8.03, Page IX-40 (2012-13 GAA)	\$0	\$0	\$600,000
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriation-Authority Only for UB	\$(1,159,109)	\$(997,439)	\$(837,220)
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Art I, Rider 3, Pg I-80 (2010-11 GAA) (cash only)	\$1,159,109	\$0	\$0
Art I, Rider 3, Pg I-80 (2010-11 GAA) (cash and budget authority)	\$3,463	\$0	\$0
Art I, Rider 2, Page I-89 (2012-13 GAA)	\$0	\$(837,220)	\$837,220
Art I, Rider 3, Pg I-80 (2010-11 GAA) (cash and budget authority)	\$(1,191,688)	\$1,191,688	\$0
<b>TOTAL, Interagency Contracts</b>	<b>\$7,845,689</b>	<b>\$8,134,943</b>	<b>\$9,653,077</b>



**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
 TIME: **3:01:59PM**

Agency code: **479**

Agency name: **State Office of Risk Management**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b>TOTAL, ALL OTHER FUNDS</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>GRAND TOTAL</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>FULL-TIME-EQUIVALENT POSITIONS</b>			
REGULAR APPROPRIATIONS			
S.B. 1, 81st Leg., R.S. Art I, Page I-79 (2010-11 GAA)	126.0	126.0	0.0
H.B. 1, 82nd Leg., R.S. Art I, Page I-88 (2012-13 GAA)	0.0	0.0	117.6
UNAUTHORIZED NUMBER OVER (BELOW) CAP			
S.B. 1, 81st Leg., R.S. Art I, Page I-79 (2010-11 GAA)	(8.4)	(6.1)	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>117.6</b>	<b>119.9</b>	<b>117.6</b>
<b>NUMBER OF 100% FEDERALLY FUNDED FTEs</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

**II.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
TIME: **3:07:17PM**

Agency code: **479**

Agency name: **State Office of Risk Management**

<b>OBJECT OF EXPENSE</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
1001 SALARIES AND WAGES	\$5,087,298	\$5,295,737	\$5,413,970
1002 OTHER PERSONNEL COSTS	\$225,123	\$234,099	\$153,885
2001 PROFESSIONAL FEES AND SERVICES	\$1,922,166	\$1,880,775	\$2,219,963
2003 CONSUMABLE SUPPLIES	\$34,317	\$30,892	\$38,803
2004 UTILITIES	\$2,723	\$0	\$0
2005 TRAVEL	\$114,939	\$124,756	\$129,539
2006 RENT - BUILDING	\$720	\$888	\$720
2007 RENT - MACHINE AND OTHER	\$23,767	\$24,364	\$23,866
2009 OTHER OPERATING EXPENSE	\$394,356	\$544,668	\$1,672,674
5000 CAPITAL EXPENDITURES	\$43,000	\$0	\$0
<b>Agency Total</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>

**II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES**

82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation system of Texas (ABEST)

Date : **12/5/2011**  
Time: **3:07:48PM**

Agency code: **479**                      Agency name: **State Office of Risk Management**

Goal/ Objective / OUTCOME	Exp 2010	Exp 2011	Bud2012
1    Manage Workers' Compensation Costs			
1 <i>Risk Management</i>			
<b>KEY</b> <b>1 Incident Rate of Injuries &amp; Illnesses/100 Covered FT State Employees</b>	3.87 %	3.92 %	4.00 %
2 <i>Claims Administration</i>			
<b>KEY</b> <b>1 Cost of Workers' Compensation Per Covered State Employee</b>	259.71	268.81	295.00
<b>KEY</b> <b>2 Cost of Workers' Compensation Coverage per \$100 State Payroll</b>	0.70	0.71	0.96

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME: 3:06:44PM

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Workers' Compensation Costs Statewide Goal/Benchmark: 8 0  
 OBJECTIVE: 1 Risk Management Service Categories:  
 STRATEGY: 1 Assist/Review/Monitor Agencies' Risk Management Programs Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
KEY 1	Number of Written Risk Management Program Reviews Conducted	32.00	33.00	29.00
KEY 2	Number of On-site Consultations Conducted	254.00	252.00	229.00
3	Number of Risk Management Training Sessions Conducted	220.00	220.00	220.00
<b>Efficiency Measures:</b>				
1	Cost Per Hour of Direct Risk Management Service Provided	83.09	80.06	90.00
<b>Explanatory/Input Measures:</b>				
1	Percentage of Total Assessments Collected Used for Claim Payments	98.33 %	96.06 %	100.00 %
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$1,650,436	\$1,739,753	\$1,802,980
1002	OTHER PERSONNEL COSTS	\$73,327	\$73,492	\$68,352
2001	PROFESSIONAL FEES AND SERVICES	\$8,217	\$9,876	\$13,746
2003	CONSUMABLE SUPPLIES	\$8,750	\$8,969	\$10,720
2004	UTILITIES	\$1,997	\$0	\$0
2005	TRAVEL	\$65,679	\$64,514	\$68,539
2006	RENT - BUILDING	\$216	\$300	\$216
2007	RENT - MACHINE AND OTHER	\$7,130	\$7,533	\$7,160
2009	OTHER OPERATING EXPENSE	\$115,343	\$150,546	\$1,458,425
5000	CAPITAL EXPENDITURES	\$12,900	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$1,943,995</b>	<b>\$2,054,983</b>	<b>\$3,430,138</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME: 3:06:44PM

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Workers' Compensation Costs

Statewide Goal/Benchmark: 8 0

OBJECTIVE: 1 Risk Management

Service Categories:

STRATEGY: 1 Assist/Review/Monitor Agencies' Risk Management Programs

Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Method of Financing:</b>				
	666 Appropriated Receipts	\$1,176	\$40	\$0
	777 Interagency Contracts	\$1,942,819	\$2,054,943	\$3,430,138
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$1,943,995</b>	<b>\$2,054,983</b>	<b>\$3,430,138</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$1,943,995</b>	<b>\$2,054,983</b>	<b>\$3,430,138</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>30.9</b>	<b>32.4</b>	<b>32.5</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME: 4:47:25PM

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Workers' Compensation Costs Statewide Goal/Benchmark: 8 0  
 OBJECTIVE: 2 Claims Administration Service Categories:  
 STRATEGY: 1 Review Claims, Determine Liability and Pay Eligible Claims Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Output Measures:</b>				
	1 Number of Initial Eligibility Determinations Made	8,201.00	8,521.00	8,300.00
KEY 2	Number of Medical Bills Processed	116,884.00	127,568.00	118,500.00
KEY 3	Number of Indemnity Bills Paid	36,241.00	35,539.00	36,500.00
<b>Efficiency Measures:</b>				
KEY 1	Average Cost to Administer Claim	568.10	516.14	620.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$3,436,862	\$3,555,984	\$3,610,990
1002	OTHER PERSONNEL COSTS	\$151,796	\$160,607	\$85,533
2001	PROFESSIONAL FEES AND SERVICES	\$1,913,949	\$1,870,899	\$2,206,217
2003	CONSUMABLE SUPPLIES	\$25,567	\$21,923	\$28,083
2004	UTILITIES	\$726	\$0	\$0
2005	TRAVEL	\$49,260	\$60,242	\$61,000
2006	RENT - BUILDING	\$504	\$588	\$504
2007	RENT - MACHINE AND OTHER	\$16,637	\$16,831	\$16,706
2009	OTHER OPERATING EXPENSE	\$279,013	\$394,122	\$214,249
5000	CAPITAL EXPENDITURES	\$30,100	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$5,904,414</b>	<b>\$6,081,196</b>	<b>\$6,223,282</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$0	\$0	\$0
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing:</b>				
666	Appropriated Receipts	\$1,544	\$1,196	\$343
777	Interagency Contracts	\$5,902,870	\$6,080,000	\$6,222,939

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME: 3:06:44PM

Agency code: **479** Agency name: **State Office of Risk Management**

GOAL: 1 Manage Workers' Compensation Costs

Statewide Goal/Benchmark: 8 0

OBJECTIVE: 2 Claims Administration

Service Categories:

STRATEGY: 1 Review Claims, Determine Liability and Pay Eligible Claims

Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$5,904,414</b>	<b>\$6,081,196</b>	<b>\$6,223,282</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$5,904,414</b>	<b>\$6,081,196</b>	<b>\$6,223,282</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>86.7</b>	<b>87.5</b>	<b>85.1</b>

**III.A. STRATEGY LEVEL DETAIL**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
TIME: 3:06:44PM

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>METHODS OF FINANCE :</b>	<b>\$7,848,409</b>	<b>\$8,136,179</b>	<b>\$9,653,420</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>117.6</b>	<b>119.9</b>	<b>117.6</b>



IV.A. CAPITAL BUDGET PROJECT SCHEDULE  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
TIME: 3:08:11PM

Agency code: 479

Agency name: State Office of Risk Management

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE

EXP 2010

EXP 2011

BUD 2012

**5005 Acquisition of Information Resource Technologies**

*1/1 Workstations Upgrade*

**OBJECTS OF EXPENSE**

Informational

2009 OTHER OPERATING EXPENSE

\$2,842

\$153,679

\$0

Informational Subtotal OOE, Project 1

\$2,842

\$153,679

\$0

Subtotal OOE, Project 1

**\$2,842**

**\$153,679**

**\$0**

**TYPE OF FINANCING**

Informational

CA 777 Interagency Contracts

\$2,842

\$153,679

\$0

Informational Subtotal TOF, Project 1

\$2,842

\$153,679

\$0

Subtotal TOF, Project 1

**\$2,842**

**\$153,679**

**\$0**

*2/2 Scanner Upgrade*

**OBJECTS OF EXPENSE**

Capital

5000 CAPITAL EXPENDITURES

\$43,000

\$0

\$0

Capital Subtotal OOE, Project 2

\$43,000

\$0

\$0

Informational

2009 OTHER OPERATING EXPENSE

\$19,604

\$0

\$0

Informational Subtotal OOE, Project 2

\$19,604

\$0

\$0

Subtotal OOE, Project 2

**\$62,604**

**\$0**

**\$0**

**TYPE OF FINANCING**

Capital

CA 777 Interagency Contracts

\$43,000

\$0

\$0

Capital Subtotal TOF, Project 2

\$43,000

\$0

\$0

IV.A. CAPITAL BUDGET PROJECT SCHEDULE  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
TIME: 3:08:11PM

Agency code: 479

Agency name: State Office of Risk Management

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2010

EXP 2011

BUD 2012

Informational

CA 777 Interagency Contracts

\$19,604

\$0

\$0

Informational Subtotal TOF, Project 2

\$19,604

\$0

\$0

Subtotal TOF, Project 2

**\$62,604**

**\$0**

**\$0**

Capital Subtotal, Category 5005

\$43,000

\$0

\$0

Informational Subtotal, Category 5005

\$22,446

\$153,679

\$0

**Total, Category 5005**

**\$65,446**

**\$153,679**

**\$0**

AGENCY TOTAL -CAPITAL

**\$43,000**

**\$0**

**\$0**

AGENCY TOTAL -INFORMATIONAL

**\$22,446**

**\$153,679**

**\$0**

AGENCY TOTAL

**\$65,446**

**\$153,679**

**\$0**

METHOD OF FINANCING:

Capital

777 Interagency Contracts

\$43,000

\$0

\$0

Total, Method of Financing-Capital

\$43,000

\$0

\$0

Informational

777 Interagency Contracts

\$22,446

\$153,679

\$0

Total, Method of Financing-Informational

\$22,446

\$153,679

\$0

**Total, Method of Financing**

**\$65,446**

**\$153,679**

**\$0**

IV.A. CAPITAL BUDGET PROJECT SCHEDULE  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME : 3:08:11PM

Agency code: 479

Agency name: State Office of Risk Management

**Category Code / Category Name**

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE

**EXP 2010**

**EXP 2011**

**BUD 2012**

**TYPE OF FINANCING:**

Capital

CA CURRENT APPROPRIATIONS

\$43,000

\$0

\$0

Total, Type of Financing-Capital

\$43,000

\$0

\$0

Informational

CA CURRENT APPROPRIATIONS

\$22,446

\$153,679

\$0

Total, Type of Financing-Informational

\$22,446

\$153,679

\$0

**Total,Type of Financing**

**\$65,446**

**\$153,679**

**\$0**

**CAPITAL BUDGET ALLOCATION TO STRATEGIES**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
 TIME: **3:08:32PM**

Agency code: **479** Agency name: **State Office of Risk Management**

**Category Code/Name**

*Project Sequence/Project Id/Name*

Goal/Obj/Str	Strategy Name	EXP 2010	EXP 2011	BUD 2012
<b>5005 Acquisition of Information Resource Technologies</b>				
<i>1/1 Workstations Upgrade</i>				
Informational	1-1-1 RISK MANAGEMENT PROGRAM	2,842	50,643	\$0
Informational	1-2-1 PAY WORKERS' COMPENSATION	0	103,036	0
TOTAL, PROJECT		\$2,842	\$153,679	\$0
<i>2/2 Scanner Upgrade</i>				
Capital	1-1-1 RISK MANAGEMENT PROGRAM	12,900	0	0
Capital	1-2-1 PAY WORKERS' COMPENSATION	30,100	0	0
Informational	1-1-1 RISK MANAGEMENT PROGRAM	5,881	0	0
Informational	1-2-1 PAY WORKERS' COMPENSATION	13,723	0	0
TOTAL, PROJECT		\$62,604	\$0	\$0
<b>TOTAL CAPITAL, ALL PROJECTS</b>		<b>\$43,000</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL INFORMATIONAL, ALL PROJECTS</b>		<b>\$22,446</b>	<b>\$153,679</b>	<b>\$0</b>
<b>TOTAL, ALL PROJECTS</b>		<b>\$65,446</b>	<b>\$153,679</b>	<b>\$0</b>

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

DATE: 12/5/2011  
TIME: 3:08:54PM

82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **479**

Agency name: **State Office of Risk Management**

<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b><u>777</u> Interagency Contracts</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	2,720	1,236	343
3947 State Office of Risk Mgmt Assessmts	10,187,333	10,440,464	11,156,291
Subtotal: Estimated Revenue	<u>10,190,053</u>	<u>10,441,700</u>	<u>11,156,634</u>
<b>Total Available</b>	<b><u>\$10,190,053</u></b>	<b><u>\$10,441,700</u></b>	<b><u>\$11,156,634</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted	(7,848,410)	(8,136,179)	(9,653,420)
Transfer - Employee Benefits	(1,149,955)	(1,468,301)	(1,503,214)
UB to Next AY (COBJ 3947)	(1,191,688)	(837,220)	0
<b>Total, Deductions</b>	<b><u>\$(10,190,053)</u></b>	<b><u>\$(10,441,700)</u></b>	<b><u>\$(11,156,634)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Stuart B. Cargile

# **WORKERS' COMPENSATION PAYMENTS**



**II.A. SUMMARY OF BUDGET BY STRATEGY**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 12/5/2011  
 TIME : 3:14:26PM

Agency code: 40A                      Agency name: Workers' Compensation Payments

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
1 Workers' Compensation Payments			
1 Workers' Compensation Payments			
1 WORKERS' COMPENSATION PAYMENTS	\$43,264,332	\$44,665,525	\$47,271,161
<b>TOTAL, GOAL 1</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>



**II.A. SUMMARY OF BUDGET BY STRATEGY**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 12/5/2011  
 TIME : 3:14:26PM

Agency code: 40A Agency name: Workers' Compensation Payments

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
<b>Other Funds:</b>			
777 Interagency Contracts	\$42,648,008	\$43,853,126	\$46,703,411
8052 Subrogation Receipts	\$616,324	\$812,399	\$567,750
	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>			

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
 TIME: **3:18:51PM**

Agency code: **40A**

Agency name: **Workers' Compensation Payments**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b><u>OTHER FUNDS</u></b>			
<b><u>777</u></b> Interagency Contracts			
<i>REGULAR APPROPRIATIONS</i>			
Art I, Page I-89 (2012-13 GAA)	\$0	\$0	\$47,303,411
Art I, Page I-81 (2010-11 GAA)	\$48,000,000	\$49,000,000	\$0
<i>LAPSED APPROPRIATIONS</i>			
ArtIX, Sec 15.02, Pg IX-60, Pymts to SORM-Unexercised Auth(2010-11 GAA)	\$(4,898,170)	\$(2,431,410)	\$0
ArtIX, Sec 15.02, Pg IX-62, Pymts to SORM-Unexercised Auth(2012-13 GAA)	\$0	\$0	\$(600,000)
Lapsed Appropriation-Authority Only for UB	\$0	\$(1,441,839)	\$(2,715,647)
<i>UNEXPENDED BALANCES AUTHORITY</i>			
ArtIX Sec 15.02 (h),Pg IX-61 Trf collect IAC AY10 to AY11(2010-11GAA)	\$(1,442,022)	\$1,442,022	\$0
ArtIX Sec 15.02 (h),Pg IX-61Trf collect IAC AY09 to AY10(2010-11GAA)	\$988,200	\$0	\$0
ArtIX Sec 15.02 (h),Pg IX-63 Trf collect IAC AY11 to AY12(2012-13GAA)	\$0	\$(2,715,647)	\$2,715,647
<b>TOTAL, Interagency Contracts</b>	<b>\$42,648,008</b>	<b>\$43,853,126</b>	<b>\$46,703,411</b>
<b><u>8052</u></b> Subrogation Receipts			
<i>REGULAR APPROPRIATIONS</i>			
Art I, Page I-78 (2012-13 GAA)	\$0	\$0	\$567,750
Art I, Page I-81 (2010-11 GAA)	\$567,750	\$567,750	\$0
<i>RIDER APPROPRIATION</i>			
Art IX, Sec 15.02, para (g) Pg IX-62, Pymts to SORM (2010-2011 GAA)	\$48,574	\$244,649	\$0

**II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
 TIME: **3:18:51PM**

Agency code: **40A**

Agency name: **Workers' Compensation Payments**

METHOD OF FINANCING	Exp 2010	Exp 2011	Bud 2012
<b>TOTAL, Subrogation Receipts</b>	<b>\$616,324</b>	<b>\$812,399</b>	<b>\$567,750</b>
<b>TOTAL, ALL OTHER FUNDS</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>GRAND TOTAL</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>

**FULL-TIME-EQUIVALENT POSITIONS**

**TOTAL, ADJUSTED FTES**

**NUMBER OF 100% FEDERALLY FUNDED FTES**

**II.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **12/5/2011**  
TIME: **3:18:34PM**

Agency code: **40A**

Agency name: **Workers' Compensation Payments**

<b>OBJECT OF EXPENSE</b>	<b>EXP 2010</b>	<b>EXP 2011</b>	<b>BUD 2012</b>
2009 OTHER OPERATING EXPENSE	\$43,264,332	\$44,665,525	\$47,271,161
<b>Agency Total</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>

**III.A. STRATEGY LEVEL DETAIL**  
 82nd Regular Session, Fiscal Year 2012 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
 TIME: 3:18:17PM

Agency code: **40A** Agency name: **Workers' Compensation Payments**

GOAL: 1 Workers' Compensation Payments  
 OBJECTIVE: 1 Workers' Compensation Payments  
 STRATEGY: 1 Workers' Compensation Payments

Statewide Goal/Benchmark: 8 0  
 Service Categories:  
 Service: 05 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2010	EXP 2011	BUD 2012
<b>Objects of Expense:</b>				
	2009 OTHER OPERATING EXPENSE	\$43,264,332	\$44,665,525	\$47,271,161
	<b>TOTAL, OBJECT OF EXPENSE</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>Method of Financing:</b>				
	777 Interagency Contracts	\$42,648,008	\$43,853,126	\$46,703,411
	8052 Subrogation Receipts	\$616,324	\$812,399	\$567,750
	<b>SUBTOTAL, MOF (OTHER FUNDS)</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
	<b>TOTAL, METHOD OF FINANCE :</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>				

**III.A. STRATEGY LEVEL DETAIL**  
82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 12/5/2011  
TIME: 3:18:17PM

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>METHODS OF FINANCE :</b>	<b>\$43,264,332</b>	<b>\$44,665,525</b>	<b>\$47,271,161</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>			

**IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE**

DATE: 12/5/2011  
TIME: 3:19:16PM

82nd Regular Session, Fiscal Year 2012 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency Code: **40A**

Agency name: **Workers' Compensation Payments**

<b>FUND/ACCOUNT</b>	<b>Exp 2010</b>	<b>Exp 2011</b>	<b>Bud 2012</b>
<b><u>777</u> Interagency Contracts</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3805 Subrogation Recoveries	616,323	812,399	567,750
3947 State Office of Risk Mgmt Assessmts	44,090,030	46,568,772	46,703,411
Subtotal: Estimated Revenue	<u>44,706,353</u>	<u>47,381,171</u>	<u>47,271,161</u>
<b>Total Available</b>	<b><u>\$44,706,353</u></b>	<b><u>\$47,381,171</u></b>	<b><u>\$47,271,161</u></b>
<b>DEDUCTIONS:</b>			
Expended/Budgeted	(43,264,331)	(44,665,525)	(47,271,161)
UB to Next AY (COBJ 3947)	(1,442,022)	(2,715,646)	0
<b>Total, Deductions</b>	<b><u>\$(44,706,353)</u></b>	<b><u>\$(47,381,171)</u></b>	<b><u>\$(47,271,161)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>

**REVENUE ASSUMPTIONS:**

**CONTACT PERSON:**

Stuart B. Cargile